

PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Helmuth EGGERS, et al.

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For: **METHOD FOR SENSING THE SURROUNDINGS IN FRONT OF A ROAD  
VEHICLE BY MEANS OF A SURROUNDINGS SENSING SYSTEM**

**VERIFICATION STATEMENT PURSUANT TO 37 C.F.R. §1.68**

Commissioner for Patents  
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Sir:

I, Stephan A. Pendorf, declare and state the following:

I am a citizen of the United States residing at 1401 Hollywood Boulevard, Hollywood, Florida;

I have lived in Germany for 14 years and am familiar with both the German and English languages and have experience as a technical translator;

The German language text of PCT/EP2003/012572 filed with the application on May 16, 2005 corresponds to the text of German language priority document Patent Application No. DE 102 55 797.7 with a German filing date of November 28, 2002.

The attached English-language translation of German language priority document DE 102 55 797.7 also corresponds to the text as filed May 16, 2005 in the present US National Sage Entry application.

The attached English-language translation of German language priority document DE 102 55 797.7 is a full, true and faithful translation made by me of the text of the German-language

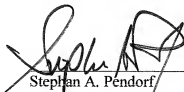
Appln. No.: **11/183,507**  
Verification Statement

Attorney Docket: 3926.192

priority Patent Application No. DE 102 55 797.7.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application and of any patent issuing thereon.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Stephan A. Pendorf', is written over a horizontal line. The signature is stylized with a large 'S' and a prominent 'P'.

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Date: **April 6, 2009**

Method for sensing the surroundings in front of a road  
vehicle by means of a surroundings sensing system

The invention relates to a method for sensing the  
5 surroundings in front of a road vehicle by means of a  
surroundings sensing system.

Driver assistance systems are used to support the  
driver in vehicles. Inter alia, surroundings-sensing  
10 systems are used in this context. Such systems serve to  
warn the driver about obstacles and other sources of  
danger and thus avoid traffic accidents. Obstacles are  
detected here mainly by means of optical sensors. For  
this purpose, CCD sensors and infrared sensors are  
15 mounted on the road vehicle in order to record  
surroundings data both in the day and during night  
driving. The surroundings data which is recorded is  
processed to form an image by means of a computer unit  
connected to the sensor system, and said data is then  
20 presented to the driver, for example on a display.  
However it is also conceivable to subject the image  
data to an evaluation in order, for example, to  
perceive objects in it.

25 The US patent with the patent number 6 201 236 B1  
describes an opto-electronic system for detecting  
objects within a restricted monitoring region. For this  
purpose, the system comprises a plurality of LED  
transmitters and photo receivers which are mounted in  
30 pairs on a road vehicle. The LED transmitters are  
operated in a pulsed fashion and in the process  
illuminate the monitoring region. Objects which are  
located in the monitoring region are then detected by  
means of the photo elements, as a result of the light  
35 reflected at the objects. The LED transmitters and  
receivers are operated with a control unit, with the  
detected signal being evaluated in such a way that it

detected signal being evaluated in such a way that it is possible to distinguish between the light which is reflected by objects and the surroundings light. The evaluation which is carried out by means of the control unit is selective in order to be able to adapt the limits of the monitoring region to the conditions in the surroundings. For example, the monitoring region shrinks if narrow roads containing a lot of bends are passed through. The size of the monitoring region also depends on the type of vehicle (lorry, passenger car, etc.) since the dead angle and thus the region to be monitored changes with the type of vehicle. The size of monitoring region is defined in such a way that the system can perceive other vehicles which are located in the dead angle of the vehicle and move in an adjacent lane. The monitoring region is also limited so that adjacent lanes can be detected but no objects such as, for example, road signs, fences, walls etc.

The UK patent application with the publishing number GB 2352859 A describes a monitoring system which serves to monitor a 3-D space and comprises at least two cameras. One or more volumes which are to be monitored are defined within a 3-D space, said volumes being, for example, dangerous spaces or shut-off areas. Since two or more cameras are used, the system can sense whether an object penetrates the volume to be monitored. The volume to be monitored is defined by the user by means of a drawing. The drawing contains here the contours about an object in at least two views. The views being selected in such a way that they correspond to the camera arrangement and by means of the camera arrangement it is possible to sense one object simultaneously by both cameras. If the cameras are arranged in a coplanar fashion for two objects moving in the field of vision, a total of four delimited

monitoring regions are described with the intersecting optical beams of the cameras. The monitoring regions change here in their size as the objects move.

- 5 A system for supporting the driver's vision at night is presented on the Internet page of the Toyota Motor Corporation ([www.toyota.co.jp/Showroom/All\\_toyota\\_lineup/LandCruiserCygnus/safety/index.html](http://www.toyota.co.jp/Showroom/All_toyota_lineup/LandCruiserCygnus/safety/index.html)). Here, the surroundings are sensed by means of a camera which is sensitive in the near-infrared range, and the surroundings are displayed to the driver on a head-up display. When the headlights are dipped the system shows the course of the road which lies in front of the light beam of the vehicle and is difficult to discern, as well as persons, vehicles and obstacles located in the surroundings. For this purpose, a region which can be perceived with the night vision system adjoins the light beam of the dipped headlights. The region which can be perceived is ideally at approximately 100m and extends at maximum to approximately 150m.

- The system also serves as an assistant for remote vision, in particular in situations in which it is not possible to travel with high beam. When the vehicle is travelling with high beam, the system provides the driver with advance information by imaging objects which are difficult to perceive in direct vision. By using near-infrared beams the system can indicate the state of the road, objects which have fallen onto the road and other information about the road. For this purpose, the region which can be imaged with the night vision system is adjacent to the light beam of the high beam, which is stipulated as having a range of approximately 180m. The region which can be imaged is stipulated as being ideally 200m and at maximum approximately 250m.

When such a system is operating, such a large amount of data to be evaluated is very disadvantageous under real conditions. Correspondingly, the requirements made  
5 of the efficiency of the hardware in order to achieve a real-time capability are very high. For this reason, until now very complex and also very expensive special hardware has been used in systems for sensing the surroundings.

10 The invention is therefore based on the object of providing a method with which the surroundings in front of a road vehicle can be sensed using a surroundings sensing system and objects which are located in front  
15 of said vehicle can be detected, with a real-time capability of the system being implemented by a simple data processing means.

The object is achieved according to the invention by  
20 means of a method having the features of patent claim 1. Advantageous refinements and developments of the invention are disclosed in the subclaims.

According to the invention, a method is used for  
25 sensing the surroundings in front of a road vehicle using a surroundings sensing system. In which case the surroundings sensing system may be, in particular, an infrared night vision system. In order to sense surroundings data the system comprises at least one  
30 surroundings sensor. Said sensor may be, for example, a stereo camera system, a radar sensor in conjunction with a camera, a combination of an infrared laser and a camera or an ultrasonic sensor in combination with a camera. Objects within the surroundings data sensed by  
35 the surroundings sensor are detected by processing the sensor data. In which case the region in which the

objects are perceived is configured in such a way that it corresponds to a component-region of the region which is sensed by the camera. The perception region is divided according to the invention into a plurality of  
5 component-regions. Owing to the division into such perception component-regions it is then possible to subject surroundings data to a specific evaluation. For example, the evaluation is carried out with a higher priority in a near region than in a more distant  
10 region. It is also conceivable to make different computing capabilities, for example complex, multi-stage algorithms, available for different perception regions.

15 Before the perception region is divided into a plurality of component-regions in the perception region, a lane detection is also carried out according to the invention. In order to determine the course of the lane it has proven valuable to use image processing  
20 methods. However, it is also conceivable to determine the lane on the basis of information of a navigation system. The lane can be included directly in the images of the sensing of the surroundings and displayed to the driver.

25 The invention makes it possible to carry out real-time-capable forward-looking sensing of the surroundings using standard hardware. By means of a specific evaluation within individual perception  
30 component-regions on the one hand and restriction of the perception region to the region of the lane, on the other hand, the quantity of data to be evaluated is considerably reduced, thus permitting rapid processing of the data for the sensing of the surroundings.

35 In one beneficial embodiment of the invention, the

perception region is restricted in such a way that, for the purpose of delimiting the lane, a further predefined tolerance region is also added. It is thus possible not only to restrict the perception to the

5 lane but also to carry out an evaluation in the tolerance regions next to the lane for the individual perception component-regions. As a result, objects which are located at the edge of the road, such as road signs, persons etc. can be sensed within perception

10 component-regions and thus evaluated specifically with respect to the individual component-regions. The tolerance region can be included in the images of the sensing of the surroundings.

15 The perception of the object can be carried out by the image processing system, in such a way that, for example, said image processing system displays the surroundings data on a display for evaluation by a person. Alternatively, it is suitable to carry out

20 computer-supported perception for automatic evaluation. Methods which are based on sensor data processing methods are particularly suitable for automatic object perception. If the surroundings sensor senses, for example, a camera, image processing methods for

25 processing the surroundings data are advantageously suitable. A large number of methods are already known for this purpose from the prior art, for example template matching, edge-based or contour-based methods. The method according to the invention is particularly

30 advantageous in conjunction with image processing methods since the object sizes which occur in the different perception component-regions can be estimated satisfactorily in advance. Imaging processing algorithms can thus be adapted in an optimum way for

35 each individual perception component-region. For example, when a template matching method is used it is



possible to work within a perception component-region with a small number of templates, with approximately the same object sizes and types of objects being presented. Using a small number of templates permits  
5 the method to be processed with corresponding speed.

It is also conceivable to carry out object classification for the purpose of carrying out evaluation in the perception region. In which case the  
10 object classification can be used alone or additionally in combination with other methods, predominantly in order to minimize false alarms. In particular in the case of the classification methods which are based on learning from examples it is possible to adapt  
15 different classifiers for different perception component-regions. Different learning samples are generated for different perception component-regions, in order to adapt the classifiers. In this context, a learning sample for a perception component-region  
20 comprises only such patterns whose type of object can also actually appear within the perception component-region. For example, traffic signs do not appear within the lane but rather at the edge of the lane. The scaling for a pattern of a learning sample within a  
25 perception component-region can also be satisfactorily predicted so that the number of patterns may be small.

For example, on the basis of a classification it is possible to check an object there detected by means of  
30 image processing to determine whether the object is actually an obstacle or another object which can usually appear within a traffic scene and does not constitute a danger, for example oncoming traffic.

35 In a further advantageous refinement of the invention, the distance from the objects which are perceived by

means of image processing methods or classification methods is determined. The driver can thus be warned in good time of dangers or obstacles, for example. In which case the distance from objects can be measured by  
5 means of a distance measuring sensor, for example with a laser sensor or radar sensor. However, the distance from objects can also be determined by reference to the image data of the sensing of the surroundings. It is also conceivable to determine the distance by reference  
10 to the relationship between a perceived object and a perception component-region.

For the detection of objects it is possible to use a combination of distance measuring and speed measuring  
15 methods as well as classifying methods. By using tracking methods it is possible to carry out an evaluation in the perception region in such a way that both the direction of movement and the speed of movement of objects can be sensed. In particular,  
20 methods with which differences in the lateral movement can be satisfactorily perceived are used. For example, obstacles which suddenly appear or vehicles which move out are indicated to the driver.

25 The method according to the invention can be particularly advantageously used in conjunction with a safety system in a road vehicle for acting on other vehicle-internal systems. For example, control signals can be transmitted to the control unit of an ACC  
30 application in order to avoid collisions. Signals can also be transmitted to safety devices, for example in order to pre-activate the airbag.

An exemplary embodiment of the invention will be  
35 explained in detail below with reference to a figure.

The figure shows by way of example a traffic scene using the method according to the invention in order to sense the surroundings in front of a road vehicle (1) by means of a surroundings sensing system. In which  
5 case the road vehicle is located on a road with a plurality of lanes (2). The boundaries (6) of the region imaged by the surroundings sensing camera extend beyond the lane boundaries (3). The perception region of the system is intended to include here only a  
10 component-region of the region which can be imaged by the camera. The perception region is also intended to be divided into a plurality of component-regions (A...D) in order to subject the surroundings data to a multi-stage evaluation. The perception region is  
15 restricted in this example to the region which is located within the lane boundaries (3). Also, a further tolerance region (5) is added in addition to the preception region in order, for example, to perceive road signs in this region. If the central markings (4)  
20 are also included, up to four perception component-regions (C1...C4) are produced one next to the other, for example, when there are two lanes (2) as indicated in the figure. Correspondingly it is conceivable for the number of perception component-regions which are  
25 located one next to the other to increase with the number of lanes (2).

5

List of Reference Symbols

	1	Road vehicle with a surroundings sensor system
10	2	Lane
	3	Lane boundary
	4	Central markings
	5	Tolerance region
15	6	Boundary of the imaging region of the camera

A1...D4 Perception component-regions

Patent Claims

1. A method for sensing the surroundings in front of  
a road vehicle by means of a surroundings sensing  
5 system, in particular an infrared night vision  
system in which the surroundings data is sensed by  
means of a surroundings sensor, and objects within  
the surroundings data sensed by the surroundings  
sensor are detected by processing the sensor data,  
10 wherein the perception region in which the objects  
are detected corresponds to a component-region of  
the region sensed by the surroundings sensor,  
characterized in that the perception region is  
divided into a plurality of component-regions and  
15 each of these component-regions is subjected to a  
specific evaluation.
2. The method as claimed in claim 1, characterized in  
that before the perception region is divided into  
20 a plurality of component-regions in the perception  
region, either a lane detection is carried out by  
image processing methods or a lane is defined by  
means of the data of a navigation system, in order  
to subsequently restrict the perception region to  
25 the lane.
3. The method as claimed in claim 2, characterized in  
that the perception region is restricted in such a  
way that, for the purpose of delimiting the lane,  
30 a further predefined tolerance region is also  
added.
4. The method as claimed in one of the preceding  
claims, characterized in that, for the purpose of  
35 carrying out evaluation in the perception region,  
object perception is carried out by means of image

processing methods.

5. The method as claimed in one of the preceding claims, characterized in that, for the purpose of carrying out evaluation in the perception region, object classification is carried out by means of classification methods in order to rule out false alarms.
6. The method as claimed in one of claims 4 or 5, characterized in that, for the purpose of evaluation in the perception region, the distance from detected objects is determined in order to be able to provide information about obstacles in good time.
7. The method as claimed in one of the preceding claims, characterized in that, for the purpose of carrying out evaluation in the perception region by means of tracking methods, the movement of objects is sensed in order to perceive whether their direction of movement corresponds to the vehicle's own movement.
8. A use of the method as claimed in one of the preceding claims as a safety system in a road vehicle, in particular for acting on other vehicle-internal systems.

Abstract

Environment detection systems are used to aid drivers in road vehicles. To this end, optical sensors are  
5 applied to the road vehicle in order to record environmental data. The recorded environmental data is processed to form an image by means of a computing unit, and is then presented to the driver, for example on a display. In addition, the image data can be  
10 subjected to a further evaluation, for example, in order to identify objects located therein. For this purpose, a very large amount of data must be processed however, such that the requirements for the efficiency of the hardware are very high, in order to provide the  
15 system with a real-time capacity. The invention thus relates to a method which provides the system with a real-time capacity by means of simple data processing. By carrying out a multi-stage evaluation in individual identification partial regions and by limiting the  
20 identification region to the region of the driving lane, the quantity of data to be evaluated can be considerably reduced and the data can be rapidly processed during the environment detection process.